

## Public Mergers & Acquisitions (US & Non-US Targets)

Deal Point Data rankings include mergers & acquisitions with a US or non-US public target and at least one SEC filer participant.

### Top Target and Acquirer M&A Financial Advisers

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#### Search Criteria

Target industry: Industrial

Include only highest bid in pending competing deals. Exclude withdrawn deals.

Rank 2016	Rank 2015	Advice or Opinion Financial Adviser	Equity Value \$m 2016	Equity Value \$m 2015	Equity Value % Change 2015 to 2016	Deal Count 2016	Deal Count 2015
1	1	Goldman, Sachs & Co.	9,498	120,142	-92	3	8
2	9	JPMorgan	7,571	12,937	-41	5	5
3	2	Morgan Stanley	6,226	73,049	-91	3	5
4	8	Barclays	3,255	13,756	-76	2	3
5-		Deutsche Bank AG	3,079	-	-	2	0
6	12	Greenhill and Co	926	9,452	-90	1	2
7	3	Lazard	887	69,881	-99	1	2
8-		Needham & Company, LLC	335	-	-	1	0
8	22	Stifel, Nicolaus & Company, Incorporated	335	146	129	1	1
10	18	Cowen and Company, LLC	292	286	2	1	1
11-		SunTrust Robinson Humphrey	34	-	-	1	0

#### Goldman, Sachs & Co.

Axiall Corporation/Westlake Chemical Corporation \$2,329m

FEI Company/Thermo Fisher Scientific Inc. \$4,392m

Joy Global Inc./Komatsu Ltd. \$2,777m

#### JPMorgan

Affymetrix Inc./Thermo Fisher Scientific Inc. \$1,120m

AIXTRON SE/Fujian Grand Chip Investment Fund LP \$750m

FEI Company/Thermo Fisher Scientific Inc. \$4,392m

Newport Corporation/MKS Instruments, Inc. \$887m

PowerSecure International, Inc./The Southern Company \$422m

#### Morgan Stanley

Affymetrix Inc./Origin Technologies Corporation, LLC \$1,359m

Affymetrix Inc./Thermo Fisher Scientific Inc. \$1,120m

Axiall Corporation/Westlake Chemical Corporation \$2,329m

Joy Global Inc./Komatsu Ltd. \$2,777m

**Barclays**

Axiall Corporation/Westlake Chemical Corporation \$2,329m

Rofin-Sinar Technologies Inc./Coherent, Inc. \$926m

**Deutsche Bank AG**

AIXTRON SE/Fujian Grand Chip Investment Fund LP \$750m

Axiall Corporation/Westlake Chemical Corporation \$2,329m

**Greenhill and Co**

Rofin-Sinar Technologies Inc./Coherent, Inc. \$926m

**Lazard**

Newport Corporation/MKS Instruments, Inc. \$887m

**Needham & Company, LLC**

Cascade Microtech, Inc./FormFactor, Inc. \$335m

**Stifel, Nicolaus & Company, Incorporated**

Cascade Microtech, Inc./FormFactor, Inc. \$335m

**Cowen and Company, LLC**

Alliance Fiber Optic Products, Inc./Corning Incorporated \$292m

**SunTrust Robinson Humphrey**

Kreiser Manufacturing Corporation/Arlington Capital Partners \$34m